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CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on JANUARY 4, 2002.

Signed Laura Hulac

Laura Hulac

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



re application of:

YO-YUN HO et al

Serial No. 09/965,419

Art Unit: 2661

Filed: September 27, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR  
ENCODING INFORMATION

Attorney Docket No.: 812004

**TRANSMITTAL**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please find enclosed the following documents relating to the subject patent application:

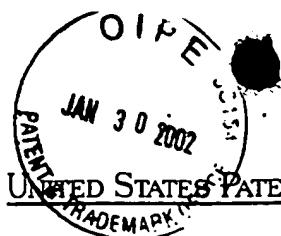
- Return Postcard
- This Transmittal Letter;
- Notice of Missing Parts of Nonprovisional Application dated October 24, 2001;
- Petition for Extension of Time (1 mo.);
- Signed Declaration;
- Recordation Form Cover Sheet;
- Signed Assignment;

Please charge our Deposit Account No. 20-0782 (Order No. 812004) \$65. for this filing, however, the Commissioner is authorized to charge any additional fees to Deposit Account No. 20-0782 (Order No. 812004).

Respectfully submitted,

By: James A. Sheridan  
James A. Sheridan, Reg. No. 25,435

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,419	09/27/2001	Michael Yo-Yun Ho	812004

CONFIRMATION NO. 3807  
FORMALITIES LETTER

MOSER, PATTERSON & SHERIDAN, LLP  
Suite B  
4149 El Camino Way  
Palo Alto, CA 94306-4036



OC000000006959957

File 812004 Atty MB  
Due Date 12-24-01 SM  
Type 115-CATH Refs

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

02/05/2002 MBERHE 00000066 09965419

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*A copy of this notice MUST be returned with the reply.*

*10-27-01*

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